| Please type a plus sig | | + | U.S. Patent and Trade | PTO/SB/21 (08-00) proved for use through 10/31/2002. OMB 0651-0031 emark Office: U.S. DEPARTMENT OF COMMERCE | | | | | | |
|--|---|---|--|--|--|--|--|--|--|--|
| Unde | r the Paperwork Reduction Act of | 1995, no persons are requ | ired to respond to a collection of ir | formation unless it displays a valid OMB control number. | | | | | | |
| | | | Application Number | 10/070,526 | | | | | | |
| TRANSMITTAL | | | Filing Date | March 6, 2002 | | | | | | |
| FORM | | | First Named Inventor | Jason Hodge | | | | | | |
| (to be used for all correspondence after initial filing) | | | Group Art Unit | N/A | | | | | | |
| | | | Examiner Name | Not Yet Assigned | | | | | | |
| Total Number | of Pages in This Submiss | ion 33 | Attorney Docket Number | r HO-P02403US0 | | | | | | |
| ENCLOSURES (check all that apply) | | | | | | | | | | |
| X Fee Transm | ittal Form | Assignment F (for an Applicate Drawing(s) | | After Allowance Communication to Group Appeal Communication to Board of | | | | | | |
| Amendment/Reply | | Licensing-rela | atod Papare | Appeals and Interferences Appeal Communication to Group | | | | | | |
| | | Petition | ated Papers | (Appeal Notice, Brief, Reply Brief) | | | | | | |
| • After F | Final . | Peddon | | Proprietary Information | | | | | | |
| Affida | vits/declaration(s) | Petition to Co Application | onvert to a Provisional | Status Letter | | | | | | |
| x Extension of Time Request | | Power of Attor | rney, Revocation rrespondence Address | X Other Enclosure(s) (please identify below) | | | | | | |
| Express Abandonment Request | | Terminal Disc | claimer | SEE ATTACHED SHEET | | | | | | |
| Information Disclosure Statement | | Request for | Refund | · · · · · · · · · · · · · · · · · · · | | | | | | |
| Certified Copy of Priority Document(s) | | CD, Number | of CD(s) | | | | | | | |
| X Response to Missing Parts/ Incomplete Application | | Remarks | | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | BOX PCT | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | | | |
| Firm or Individual Name | FULBRIGHT & JAWORSKI L.L.P. Jan K. Simpson | | | | | | | | | |
| Signature | ture // // // // // // // // // // // // // | | | | | | | | | |
| Date | November 19, 2002 | | | | | | | | | |

10/00/50/19 3010/8890 0000(000 100**7**0535

et described

12 13 3 E.A. 12 CA 14 27

Certificate of Express Mailing Under 37 CFR 1.10

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Signature

Neva M. Dare

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Application Data Sheet

CERTIFICATE UNDER 37 C.F.R. § 1.8(A)

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Jan K. Simpson

Registration No. 33,283

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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- Transmittal
- Copy of Notification of Missing Requirements Under 35 USC 371

+

- Request for Extension of Time Under 37 CFR 1.136(a)
- Fee Transmittal (in duplicate) with Checks in the amount of \$1,440.00 and \$84.00
- Declaration for Utility or Design Application Using an Application Data Sheet
- Declaration executed by Keith D. C. Stoodley on behalf of Neil C. Stoodley
- •Added Page to Declaration for Signature by Joint Inventor(s) on Behalf of Nonsigning Inventor(s) Who Refuse(s) to Sign or Cannot be Reached (37 CFR § 1.47(a))
- ◆Added Page to Declaration for Signing by an Administrator......(37 CFR § 1.42 and 1.43)
- Added Page to Combined Declaration and Power of Attorney for Signing by Administrator(trix), Executor(Trix) or Legal Representative on Behalf of Deceased or Incapacitated Inventor (37 CFR 1.42 and 1.43)
- Certified Copy of Grant for Keith D.C. Stoodley as Administrator for Neil C. Stoodley (deceased)
- Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor (37 CFR § 1.47) consisting of a 3-page statement signed by Kristina V. J. Cornish with letter to Ms. Collins dated 8 October 2002; letter to Ms. Cornish dated 28 October 2002; and executed Authority dated 28 October 2002.

Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU110401791US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: November 19, 2002

Signature:

(Neva M. Dare)



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/070,526 Jason Hodge HO-P02403US0

INTERNATIONAL APPLICATION NO.

PCT/AU00/01055

Jan K Simpson Fulbright & Jaworski 1301 Mckinney Suite 5100 Houston, TX 77010-3095 1.A. FILING DATE PRIORITY DATE
09/06/2000 09/06/1999

CONFIRMATION NO. 7208
371 FORMALITIES LETTER

OC0000000008137999

Date Mailed: 05/20/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

Received

MAY 2 4 2002

Docket: PO3403U59

Client: May 5

Attorney: TES

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

Additional claim fees of \$84 as a non-small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are

due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$84 for a Large Entity:

- Total additional claim fee(s) for this application is \$84
 - \$84 for 1 independent claims over 3.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/070,526 | PCT/AU00/01055 | HO-P02403US0 |

FORM PCT/DO/EO/905 (371 Formalities Notice)

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

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| • | 1100 101 | Complete if Known | | | | | | | |
|---|----------------------|--|---------------|-------------|----------------------------|--|-------------------------------------|-------------|--|
| FEE TRANSMITTAL | | | | | | | | | |
| | | | cation Number | | | 10/070,526 | | | |
| for FY 2003 | Filing Date | | | | March 6, 2002 | | | | |
| Patent fees are subject to annual revision. | First Named Inventor | | | Jason Hodge | | | | | |
| | Examiner Name | | | | | Not Yet Assigned | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | Group Art Unit | | | | | N/A | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1,524.00 | Attorney Docket No. | | | | | HO-P024 | 03US0 | | |
| METHOD OF PAYMENT (check all that apply) | | | | FEE | CALCU | LATION (co | ntinued) | | |
| X Check Credit Money Order Other None | 3. ADDITIONAL FEES | | | | | | | | |
| Deposit Account | | | | | | | | | |
| Deposit | | Entity | | Entity | _ | | | | |
| Account Number 06-2375; Order No. P02403US0 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | Fee Desc | ription | Fee Paid | |
| Deposit Account Fulbright & Jaworski L.L.P. | 1051 | 130 | 2051 | 65 | Surcharge | – late filing fe | e or oath | | |
| Name | 1052 | 50 | 2052 | 25 | Surcharge | - late provision | onal filing fee or cover | | |
| The Commissioner is hereby authorized to: (check all that apply) | | | | | sheet. | • | | | |
| Charge fee(s) indicated below X Credit any overpayments | 1053 | 130 | 1053 | 130 | Non-Englis | sh specification | | | |
| Charge any additional fee(s) during the pendency of this application | 1812 | 2,520 | 1812 | 2,520 | For filing a | request for ex p | | | |
| Charge fee(s) indicated below, except for the filling fee | 1804 | 920* | 1804 | 920* | Requesting Examiner a | g publication of action | | | |
| to the above-identified deposit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting Examiner a | publication of publication of the publication of th | f SIR after | | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension | for reply within | | | |
| 1. BASIC FILING FEE | 1252 | 400 | 2252 | 200 | Extension | for reply within | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe | 1253 | 920 | 2253 | 460 | Extension | for reply within | n third month | | |
| Code (\$) Code (\$) Fee Description Fee Paid | 1254 | 1,440 | 2254 | 720 | Extension | for reply within | fourth month | 1,440.00 | |
| 1001 740 2001 370 Utility filing fee | 1255 | 1,960 | 2255 | 980 | Extension | for reply within | n fifth month | | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of A | ppeal | | | |
| 1003 510 2003 255 Plant filing fee | 1402 | 320 | 2402 | 160 | _ | ef in support o | f an appeal | | |
| 1004 740 2004 370 Reissue filing fee | 1403 | 280 | 2403 | 140 | | r oral hearing | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1452 | 1,510 110 | 1451 2452 | 1,510 55 | | institute a pub | | | |
| SUBTOTAL (1) (\$) 0.00 | 1452 | 1,280 | 2452 | 640 | | revive unavo revive - uninte | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,280 | 2501 | 640 | | e fee (or reissi | | | |
| Extra Fee from | 1502 | 460 | 2502 | 230 | Design issu | • | | | |
| Total Claims -20** = x = x | 1503 | 620 | 2503 | 310 | Plant issue | | | | |
| Independent 4 -3** = 1 x 84.00 = 84.00 | 1460 | 130 | 1460 | 130 | | the Commiss | loner | | |
| Claims | 1807 | 50 | 1807 | 50 | | fee under 37 | | | |
| Multiple Dependent | 1806 | 180 | 1806 | 180 | _ | | | | |
| Large Entity Small Entity Fee | | | | | | | on Disclosure Stmt ssignment per | | |
| Code (\$) Fee Description | 8021 | 40 | 8021 | 40 | property (ti | mes number o | of properties) | | |
| 1202 18 2202 9 Claims in excess of 20 | 1809 | 740 | 2809 | 370 | Filing a sul (37 CFR 1. | bmission after 129(a)) | final rejection | | |
| 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 | 740 | 2810 | 370 | For each a | dditional inver | | | |
| 1204 84 2204 42 ** Reissue independent claims | 1801 | 740 | 2801 | 370 | | (37CFR 1.129(b)) or Continued Examination (RCE) | | | |
| over original patent | 1802 | 900 | 1802 | 900 | Request fo | or expedited examination | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | Other fee (specify) | | | | | | | |
| SUBTOTAL (2) (\$) 84.00 | | | | ling Fee | Paid | SURTO | TAL (3) (%) | 1 440 00 | |
| **or number previously paid, if greater; For Relssues, see above | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 1,440.00 | | | | | | ,,440.00 | |
| SUBMITTED BY | | | | | | Complete (| if applicable) | | |
| Name (Print/Type) Jan K. Simpson | Registi (Attorne | Registration No. Attorney/Agent) 33,283 7 | | | Telephone (713) 651-5383 | | | | |
| Signature | | | | | | Date | November 19, 2 | 2002 | |
| | | | | | | | | | |